

Ken Stratton

PARTNER

As Partner, Ken Stratton heads our corporate and litigation practice groups and manages our San Francisco office.



OFFICES

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Practices

- Labor Employment Law
- Corporate Law & Securities
- Mergers & Acquisitions
- IP Licensing
- Civil Litigation, Arbitration and Mediation
- General Business Practice

Ken has over 25 years of experience as a corporate attorney and litigator serving both public and private companies. Ken's extensive experience began as a litigator at Littler Mendelson, the nation's largest labor and employment law firm. From there, Ken joined Gibson, Dunn & Crutcher, a global "AmLaw 50" law firm, as a corporate and securities attorney.

Before joining Rogoway Law Group, Ken was the President and General Counsel of StemCells, Inc., a publicly-traded biotech company focused on developing human neural stem cells as a medical treatment for several diseases and disorders of the central nervous system.

Over the course of his career, Ken has negotiated hundreds of financings and strategic transactions, including mergers and divestitures, equity and debt offerings, corporate restructurings, joint ventures, IP licenses, employment and contractor agreements, and various business collaborations of different types.

Bar Admissions

- California

Education

- New York University School of Law (J.D., 1994)
- N.Y.U. Stern School of Business (M.B.A. in Finance, 1994)
- University of Pennsylvania (B.A., with honors, English & Political Science, 1990)

REPRESENTATIVE DEALS

- Senior defense counsel in Bokaie v. Green Earth Coffee LLC (Northern District of California, Case No. 18-cv-05244-JST), resulting in successful dismissal of RICO (federal racketeering) cause of action brought against a cannabis cultivator by its neighbors.
- Senior counsel in Giuffrida v. Bansal (wage and hour case; Contra Costa County Superior Court, Case No. MSC18-02318), Jardine v. Kanna, Inc. (securities dispute; Alameda County Superior Court, Case No. RG19041713), Empire Industrial Court, LLC v. El Shawa (landlord tenant dispute; Sonoma County Superior Court, Case No. SCV262295), and in other state and federal court cases and other disputes.
- Corporate lead counsel in multiple-million dollar preferred stock financing by Legion of Bloom, a leading vertically integrated cannabis company in Northern California.
- Corporate lead counsel for Kanna, Inc., an Oakland cannabis dispensary, in its June 2019 merger with Acreage Holdings, Inc., the largest multi-state owner of cannabis licenses and assets in the United States, for \$11.5 million.
- Lead counsel representing cannabis business and entrepreneurs (seed to sale) in various commercial transactions, including the successful negotiation of license, supply and development agreements, and with respect to labor and employment matters and advice.
- StemCells, Inc. (Stem Cell Sciences): Successful acquisition of Stem Cell Sciences, a publicly traded UK-based specialty cell media company, with operations in England, Australia and the United States, for \$4.5MM in registered shares of STEM and forgiveness of \$700,000 in debt.
- Medtronic, Inc. (AngioLink Corporation): Successful acquisition of a vascular closure device company for \$84 million, including acquisition agreements, employee retention agreements and amendments to existing supply contracts.
- Intel Corporation (nSerial Corporation): \$65 million acquisition of nSerial Corporation (chip design), including acquisition agreements, employee retention agreements and amendments to existing supply contracts.